



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/624,377	07/21/2003	2681	750	NOV 06 2003 PATENT & TRADEMARK OFFICE 200213	3	10	3

CONFIRMATION NO. 6831

Richard J. Minnich  
 Fay, Sharpe, Fagan, Minnich & McKee, LLP  
 Seventh Floor  
 1100 Superior Avenue  
 Cleveland, OH 44114

## FILING RECEIPT



\*OC000000011082752\*

RECEIVED

OCT 27 2003

FAY, SHARPE, BEALL, FAGAN,  
MINNICH & MCKEE

Date Mailed: 10/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard J. Torri, North Aurora, IL;  
 David Steven Trollope, Naperville, IL;

## Assignment For Published Patent Application

Lucent Technologies Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 10/22/2003

Projected Publication Date: 01/27/2005

Non-Publication Request: No

Early Publication Request: No

## Title

Enhanced recovery action in service specific connection orientation protocol

**Preliminary Class**

455

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Receipt



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF : Torri, et al.  
FOR : **ENHANCED RECOVERY ACTION IN  
SERVICE SPECIFIC CONNECTION  
ORIENTATION PROTOCOL**  
SERIAL NO. : 10/624,377  
FILED : Herewith  
EXAMINER : Unknown  
ART UNIT : 2681  
ATTORNEY DOCKET NO. : LUTZ 2 00213  
Torri 1-1

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is:

X incorrectly entered  
*and/or*  
 omitted  
 Applicant's name  
 Applicant's address  
 Title  
X Filing Date  
 Serial Number  
 Foreign/PCT Application Reference  
 Other

in that the Filing Receipt should read as follows:

**Filing date of July 22, 2003**

3. Remarks

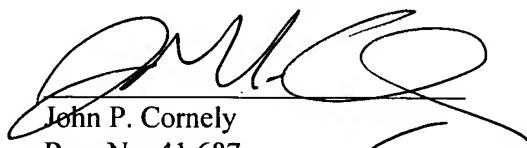
In support hereof, these remarks are submitted along with Exhibits A-D, namely, true copies of the original documents in this case: Utility Patent Application Transmittal Letter (Exhibit A), Assignment Transmittal Letter (Exhibit B), a date-stamped Return Receipt Postcard (Exhibit C) and Express Mail Receipt (Exhibit D).

Via Express Mail filing procedure, the U.S. patent application and accompanying assignment in this case were deposited together with the U.S. Post Office addressed to the Commissioner for Patents on July 22, 2003 as evidenced by Exhibit D bearing a "date in" of "07-22-03" and this case's attorney docket number "LUTZ 2 00213." Additionally, the U.S. Patent and Trademark Office received both the application and assignment together. Evidence of the same is had via Exhibit C in which the U.S. Patent and Trademark Office acknowledged receipt of the items listed (i.e., both application and assignment) by date stamping and returning the postcard. Additionally, both Exhibits A and B carry signed Express Mail Certificates claiming July 22, 2003 as the date of deposit. Both Exhibits A and B also carry the same attorney docket number (i.e., LUTZ 2 00213) listed on the Express Mail receipt and postcard. Moreover, Exhibit B carries the Express Mail Label Number "EV341153715US" which is the same as that on Exhibit D. Accordingly, it is respectfully submitted that the Express Mail filing procedures were properly complied with, and the filing date should be deemed as the date of deposit with the U.S. Post Office, i.e., July 22, 2003.

4.  The correction is not due to any error by Applicant and no fee is due  
**OR**  
 The correction is due to Applicant's error and the fee therefor under 37 C.F.R. §1.19(h) of \$25.00 is paid as follows:  
 enclosed is a check for \$25.00  
 charge Deposit Account No. 06-0308  
in the amount of \$25.00

Respectfully submitted,

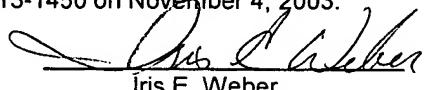
FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP



John P. Cornely  
Reg. No. 41,687  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518  
(216) 861-5582

**CERTIFICATE OF MAILING**

I hereby certify that this Request For Corrected Filing Receipt is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 4, 2003.



Iris E. Weber

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(Only for nonprovisional applications under 37 CFR 1.53(b))

MS PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing in the patent application of:

Richard J. Torri and David Steven Trollope

Entitled: **Enhanced Recovery Action in Service Specific Connection Orientation Protocol**

Enclosed are:

10 sheets of specification, 3 sheets of claims, and 1 sheet of Abstract;  
 3 sheets of Drawings (Figures 1-3);  
 an Assignment of the invention to Lucent Technologies Inc. (and transmittal therefor);  
 a Declaration and Power of Attorney for Patent Application;  
 Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i);  
 Information Disclosure Statement, PTO-1449 and cited References.

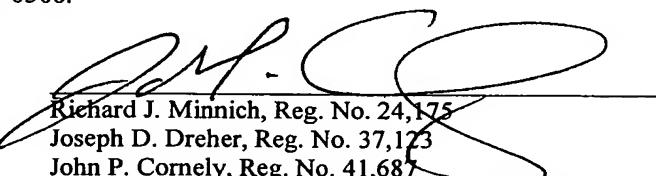
**CLAIMS AS FILED**

FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	10 - 20 =	-0-	\$18.00 LARGE \$ 9.00 SMALL	\$0.00
INDEPENDENT CLAIMS	3 - 3 =	-0-	\$84.00 LARGE \$42.00 SMALL	\$0.00
BASIC FEE:		<input checked="" type="checkbox"/> LARGE ENTITY \$750.00 <input type="checkbox"/> SMALL ENTITY \$375.00		
				<b>TOTAL FILING FEE: \$750.00</b>

A check in the amount of \$ 750.00 to cover the filing fee is enclosed.  
 A check in the amount of \$40.00 to cover the recordal fee is also enclosed.  
 The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 06-0308.

7/22/03

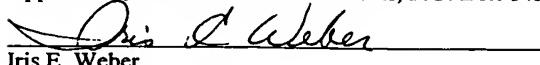
\_\_\_\_\_  
Date

  
Richard J. Minnich, Reg. No. 24,175  
Joseph D. Dreher, Reg. No. 37,123  
John P. Cornely, Reg. No. 41,687  
FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518  
216/861-5582

Express Mail Label No.: EV 341153715 US

Date of Deposit: July 22, 2003

I hereby certify that this Utility Patent Application Transmittal Letter and accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to MS Patent Application, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Iris E. Weber

**EXHIBIT**

  
ALL-STATE® INTERNATIONAL

"Express Mail" Mailing Label Number 341153715 US

Date of Deposit: July 22, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria VA 22313-1450.

By: *Iris E. Weber*  
Iris E. Weber

**PATENTS ONLY**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Torri, et al.  
FOR : Enhanced Recovery Action in Service  
Specific Connection Orientation  
Protocol  
SERIAL NO. : Unknown  
FILED : Herewith  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : LUTZ 2 00213  
: Torri 1-1

**ASSIGNMENT TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please record the attached original document(s) or copy(ies) thereof.

1. Name of Party(ies) conveying an interest:

**Richard J. Torri and David Steven Trollope**

2. Name of Party(ies) receiving an interest:

Lucent Technologies Inc.  
600 Mountain Avenue  
P.O. Box 636  
Murray Hill, New Jersey 07974-0636

3. Description of the interest conveyed:

Assignment \_\_\_\_\_ Change of Name  
 Security Agreement \_\_\_\_\_ Merger  
Other \_\_\_\_\_

Execution Date: July 22, 2003

**EXHIBIT**

*B*

ALL-STATE® INTERNATIONAL

4. These documents are being filed together with a new application, the execution date of which is: July 22, 2003
5. Name and address of Party to whom correspondence concerning this document should be mailed:

Richard J. Minnich  
Reg. No. 24,175  
FAY, SHARPE, FAGAN  
MINNICH & McKEE, LLP  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518

6. One patent application is involved.
7. Amount of fee enclosed or authorized to be charged: \$ 40.00
8. Deposit Account Number (attached duplicate copy of this form if paying by Deposit Account): 06-0308.
9. Please charge any additional fees or credit overpayment to Deposit Account No. 06-0308.
10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
11. Total Number of pages including cover sheet (2 pages); and document (2 pages); Total: 4 pages.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

7/22/03  
Date



Richard J. Minnich, Reg. No. 24,175  
Joseph D. Dreher, Reg. No. 37,123  
John P. Cornely, Reg. No. 41,687  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114  
(216) 861-5582

EXPRESS MAIL LABEL NO. FE 34153715 US

NO. \_\_\_\_\_

Inventor/Applicant: TORRI et al.

Title FAINERED RECOVERY ACTION SERVICE, Initials TJL

Attorney Docket No. LUTZ 2 00213 Filed HEREWITH

Pat. No. \_\_\_\_\_  
Ser. No. \_\_\_\_\_  
Date 7-22-03

**PATENT/DESIGN APPLICATION**

New Application Transmittal \_\_\_\_\_ Transmittal(s) \_\_\_\_\_  
Cont. \_\_\_\_\_ Div. \_\_\_\_\_ C-I-P \_\_\_\_\_ Provisional \_\_\_\_\_ Extension of Time (For \_\_\_\_\_ month(s))

CPA Transmittal \_\_\_\_\_ PCT Request \_\_\_\_\_

Declaration/Power of Atty. \_\_\_\_\_

10 pgs. Specification \_\_\_\_\_

3 pgs. Claims \_\_\_\_\_

10 total 3 independent \_\_\_\_\_

1 pgs. Abstract \_\_\_\_\_

Sheet(s) of drawing(s) Figs. 1-3 \_\_\_\_\_

formal \_\_\_\_\_ informal \_\_\_\_\_

Preliminary Amendment \_\_\_\_\_

Request and certification under \_\_\_\_\_

35 U.S.C. 122(b)(2)(B)(i) \_\_\_\_\_

Claim for Right of Priority \_\_\_\_\_

Appln. \_\_\_\_\_

Filed \_\_\_\_\_

Priority document(s) \_\_\_\_\_

Small Entity Statement(s) \_\_\_\_\_

Final Fee \_\_\_\_\_ Publication Fee \_\_\_\_\_

Response to missing parts \_\_\_\_\_

**ASSIGNMENT**

Transmittal \_\_\_\_\_ Confirmatory \_\_\_\_\_

**INFORMATION DISCLOSURE STATEMENT**

PTO Form 1449  Refs. \_\_\_\_\_

RECEIPT IS HEREBY ACKNOWLEDGED

21707 U.S. PTO

10/624377



07/21/03

DOCKETED

EXHIBIT

C

ALL-STATE® INTERNATIONAL

Custom r.Copy



UNITED STATES POSTAL SERVICE®

# PostOfficeToAddr sse

**EXHIBIT**

ALL-STATE® INTERNATIONAL